

## **Al<sub>2</sub>O<sub>3</sub> Scale Development on Iron Aluminides**

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## ABSTRACT

The structure and phase of the  $\text{Al}_2\text{O}_3$  scale that forms on an  $\text{Fe}_3\text{Al}$ -based alloy (Fe-28Al-5Cr (at %)) was investigated by transmission electron microscopy (TEM) and photoluminescence spectroscopy (PL). Oxidation was performed at  $900^\circ\text{C}$  and  $1000^\circ\text{C}$  for up to 190 min. TEM revealed that single-layer scales were formed after short oxidation times. Electron diffraction was used to show that the scales are composed of nanoscale crystallites of the  $\theta$ ,  $\gamma$ , and  $\alpha$  phases of alumina. Band-like structure was observed extending along three  $120^\circ$ -separated directions within the surface plane. Textured  $\theta$  and  $\gamma$  grains were the main components of the bands, while mixed  $\alpha$  and transient phases were found between the bands. Extended oxidation produced a double-layered scale structure, with a continuous  $\alpha$  layer at the scale/alloy interface, and a  $\gamma/\theta$  layer at the gas surface. The mechanism for the formation of  $\text{Al}_2\text{O}_3$  scales on iron aluminide alloys is discussed and compared to that for nickel aluminide alloys.

**Key Words:** Intermetallic alloys, Microstructure, TEM.

## I. INTRODUCTION

A slow growing, adherent and chemically stable oxide layer is an essential component of any metal alloy that is designed for high-temperature operation. For most Al-containing high-temperature alloys and coatings, including for example Ni-based superalloys, Ni or Fe aluminides and FeCrAl alloys, this layer is composed of  $\text{Al}_2\text{O}_3$ . The aluminides, being high temperature intermetallics, have been vigorously pursued since the early 1950s for aerospace and power-generation industries due to their high melting points and low densities. Although their brittleness, especially at ambient temperatures, and low strengths at high temperatures have greatly hindered their applicability, NiAl has been successfully used as an oxidation resistant coating, and  $\text{Ni}_3\text{Al}$  and  $\text{Fe}_3\text{Al}$ -based materials are being commercialized for applications such as industrial heat treatment equipment and hot gas filters [1]. The development and evolution of  $\text{Al}_2\text{O}_3$  scales on NiAl has been studied extensively by TEM, but there are comparatively fewer studies of this process for FeAl. Past works on the scale development on NiAl and FeAl are first summarized below.

On  $\beta$ -NiAl, Doychak et al [2] studied the oxidation of (001), (012), (011) and (111) single crystals containing 0.1wt% Zr using plan-view TEM specimens. The major oxide phase was identified as  $\theta$ - $\text{Al}_2\text{O}_3$  within 10 hrs at 800°C and 0.1 hrs at 1100°C, although some  $\delta$  phase may be present between 0.1-1 hr at 800°C. On all alloy orientations, the scale formed epitaxially, whereas the degree of preferred orientation decreased with oxidation time. The same alloy was also studied by Rybicki and Smialek using X-ray diffraction [3] and found the transition alumina to be  $\theta$ - $\text{Al}_2\text{O}_3$ , which later transformed to  $\alpha$ - $\text{Al}_2\text{O}_3$ . The time at which this transformation took place was shorter at higher oxidation temperature. Later, Yang et al [4], using conventional and high resolution cross-sectional TEM, found on (001)NiAl with 0.01 wt% Y, after 950°C in air for 6 minutes, epitaxially grown  $\gamma$ - $\text{Al}_2\text{O}_3$  with the Bain relationship:  $(001)_{\text{NiAl}} // (001)_{\gamma\text{-Al}_2\text{O}_3}$  and  $[100]_{\text{NiAl}} // [110]_{\gamma\text{-Al}_2\text{O}_3}$ . The  $\gamma$ - $\text{Al}_2\text{O}_3$  had a platelet type morphology and the oxide/alloy interface was coherent. With further oxidation, randomly oriented  $\alpha$ - $\text{Al}_2\text{O}_3$  grains nucleated at the scale/alloy interface [5]. Similar TEM studies have not been performed on FeAl alloys. Smialek et al

[6] studied the oxidation behavior of Fe-40Al doped with Hf, Hf+B or Zr+B between 900-1100°C and found, using XRD, the Al<sub>2</sub>O<sub>3</sub> scale to be a mixture of  $\theta$  and  $\alpha$ -Al<sub>2</sub>O<sub>3</sub>.

For  $\gamma'$ -Ni<sub>3</sub>Al, an external layer of Ni-rich oxide was always detected, beneath which is the Al<sub>2</sub>O<sub>3</sub> layer. Like the Al<sub>2</sub>O<sub>3</sub> that forms on  $\beta$ -NiAl, this Al<sub>2</sub>O<sub>3</sub> layer may have started as the  $\gamma$  form, and then later transformed to the more stable  $\alpha$  phase. Kuenzly and Douglass [7] studied oxidation of polycrystalline  $\gamma'$ -Ni<sub>3</sub>Al, and found by XRD and SEM that the scale formed at 900°C consisted of an outer layer of NiO, an intermediate layer of NiAl<sub>2</sub>O<sub>4</sub> and an inner layer of  $\alpha$ -Al<sub>2</sub>O<sub>3</sub>. At 1200°C, Doychak and Rühle [8] found by cross-sectional TEM a duplex scale of NiAl<sub>2</sub>O<sub>4</sub> and  $\alpha$ -Al<sub>2</sub>O<sub>3</sub> on polycrystalline  $\gamma'$ -Ni<sub>3</sub>Al+Zr. Shumann et al [9] studied the oxide development on (001) $\gamma'$ -Ni<sub>3</sub>Al at 950°C; initially (after 1 min), an external layer of NiO and an internal oxidation zone of  $\gamma$ -Al<sub>2</sub>O<sub>3</sub> formed, where the internal oxide precipitates had a cube-on-cube orientation relationship with the alloy: (001)[110]<sub>Ni</sub> // (001)[110] <sub>$\gamma$ -Al<sub>2</sub>O<sub>3</sub></sub>. After 6 min, a complete  $\gamma$ -Al<sub>2</sub>O<sub>3</sub> layer formed at the internal oxidation front, which maintained the same cube-on-cube relationship with the alloy. Eventually, the entire internal oxidation zone was oxidized and the scale consisted of an outermost layer of NiO, an intermediate layer of NiAl<sub>2</sub>O<sub>4</sub> and an inner layer of Al<sub>2</sub>O<sub>3</sub>. After 50 hrs, most of the Al<sub>2</sub>O<sub>3</sub> was still  $\gamma$ -Al<sub>2</sub>O<sub>3</sub>, but  $\alpha$ -Al<sub>2</sub>O<sub>3</sub> was found to form at the scale/alloy interface, as well as within the  $\gamma$  matrix.

Unlike Ni<sub>3</sub>Al, Fe<sub>3</sub>Al alloys do not seem to develop a noticeable Fe-rich surface layer [10]. Rensch et al [11] studied the initial stage scale development as a function of temperature in Fe<sub>3</sub>Al-based alloy (Fe-28Al-5Cr, at%) using Raman and photoluminescence (PL) spectroscopy. The first detected oxide was Fe<sub>2</sub>O<sub>3</sub>, whose intensity increased from 500-700°C, then dropped to zero with continued oxidation at higher temperatures.  $\alpha$ -Al<sub>2</sub>O<sub>3</sub> was detected as early as 750°C and its intensity quickly increased with longer times at higher temperatures. After 900°C, Fe<sub>2</sub>O<sub>3</sub> was no longer detected. The authors suggested that Fe<sub>2</sub>O<sub>3</sub>, having the same corundum structure as  $\alpha$ -Al<sub>2</sub>O<sub>3</sub>, served as templates that facilitated the nucleation and growth of the  $\alpha$  phase at a temperature below which this transition happens in the bulk (1000°C). It was also suggested that Cr<sub>2</sub>O<sub>3</sub> can also serve as a template for low temperature  $\alpha$ -Al<sub>2</sub>O<sub>3</sub> growth on FeCrAl type alloys [11], similar to that

proposed by Hagel [12]. A recent XRD study of the same Fe<sub>3</sub>Al-based alloy oxidized at 900 or 1000°C in oxygen found the first developed oxide to be  $\theta$ -Al<sub>2</sub>O<sub>3</sub> [13];  $\alpha$ -Al<sub>2</sub>O<sub>3</sub> was detected after 10 minutes at 1000°C and 1 hr after 900°C

In summary, only Al<sub>2</sub>O<sub>3</sub> is formed on  $\beta$ -NiAl at elevated temperatures (>800°C). The first formed alumina is either the metastable  $\gamma$  or the  $\theta$  phase, or a combination of the two. The oxide at this stage has a cube-on-cube orientation relationship with the alloy and the interface may be coherent. With further oxidation, randomly oriented  $\alpha$ -Al<sub>2</sub>O<sub>3</sub> nucleates at the scale/alloy interface and they form an incoherent interface with the alloy. These nuclei eventually develop into a complete  $\alpha$ -Al<sub>2</sub>O<sub>3</sub> layer above the alloy, and initially formed  $\gamma$  and/or  $\theta$  alumina transform to the  $\alpha$ -Al<sub>2</sub>O<sub>3</sub> phase with time. The transformation is faster at higher temperatures. NiO and/or NiAl<sub>2</sub>O<sub>4</sub> always develop above the Al<sub>2</sub>O<sub>3</sub> layer on Ni<sub>3</sub>Al, but much less Fe-containing oxides seem to form on Fe<sub>3</sub>Al. Similar alumina phase transformation from  $\theta$  to  $\alpha$  seem to also occur on  $\beta$ -FeAl.

In contrast to the detailed knowledge of the evolution of the alumina scales on  $\beta$ -NiAl and Ni<sub>3</sub>Al, less is known about the early stages of oxide formation on Fe<sub>3</sub>Al alloys, particularly with respect to the transformation of the metastable  $\gamma$  and/or  $\theta$  phase to the most stable  $\alpha$ -Al<sub>2</sub>O<sub>3</sub> phase with time. Here we present a detailed TEM study of scale development on Fe<sub>3</sub>Al and develop a full picture of its initial oxidation process between 900 and 1000°C. The goal is to compare this process with that found on Ni-based alloys, in order to better understand the role of different substrate components.

## II. EXPERIMENTAL METHODS

The major alloy studied was developed and made at Oak Ridge National Laboratory with a nominal composition of Fe-28Al-5Cr (in atomic %), where the Cr is added to the Fe<sub>3</sub>Al alloy to increase its ductility [14]. Actual composition determined by inductively coupled plasma-emission spectroscopy confirmed these values, with 27.88 at% Al and 4.98 at% Cr. The major impurity was Si, at a level of 0.03 at%, and GDMS (glow discharge

mass spectrometry) analysis found 34 ppma S, 48 ppma N and 68 ppma O. The alloy was prepared by arc melting and casting, followed by hot rolling to a final thickness of 1.4 mm. A Fe-28at%Al alloy, without the Cr addition, and a Ni-50at%Al alloy were also investigated under photoluminescence spectroscopy for comparison. These alloys were prepared similarly by arc melting, casting and annealing. Specimens were cut from the annealed ingot.

For plan-view TEM samples,  $10 \times 10$  mm pieces were first cut from the rolled sheet and then ground to a thickness of 0.2 mm. For cross-sectional specimens, the thinned pieces were further cut into  $2 \times 10 \times 0.2$  mm strips. Before oxidation, one side of each prepared specimen was polished using diamond paste to a  $1 \mu\text{m}$  finish, followed by ultrasonically cleaning in acetone.

Oxidation runs were performed in a horizontal furnace pre-heated to the oxidation temperature, 900 or 1000°C, with a steady flow of oxygen. Each specimen was placed in an alumina boat with a thermocouple touching its back to directly monitor the specimen temperature. At the start of an oxidation run, the alumina boat was slowly pushed into the hot zone of the furnace. Oxidation times varied from 4.5, 35 to 190 minutes at 900°C and 3 to 10 minutes at 1000°C. The final specimen temperature of the 4.5 min and the 3 min samples was only ~850°C and 910°C respectively. Heating to within three degrees of the desired oxidation temperature usually takes 8-10 minutes.

Plan-view TEM samples were prepared by punching 3 mm diameter discs out of the oxidized thin specimen. Each disc was then dimpled and ion-milled from the alloy side. For cross-sectional TEM samples, the technique similar to that developed by Tinker and Labun [15] is used, where two oxidized strips were glued together with oxidized sides face to face using M-Bond 610 adhesive. The sandwich assembly was then embedded with liquid epoxy resin into a 3 mm diameter support tube. Using a slow-cutting diamond saw, 3 mm diameter circular slices with a  $250\mu\text{m}$  thickness were cut from the tube and examined under an optical microscope. Those that were flat with a uniform, adherent and thin glue layer were grounded and ion-milled till electron transparency. TEM observations were carried out using a 200kV field-emission gun Philips CM200 microscope with an

energy-dispersive X-ray spectroscopy (EDS) for chemical analysis. Electron diffraction was used to identify the oxide phases.

Photoluminescence spectroscopy was used to detect  $\alpha$ -Al<sub>2</sub>O<sub>3</sub> and  $\theta$ -Al<sub>2</sub>O<sub>3</sub> via the Cr<sup>3+</sup> emission line. The 488 nm line of an argon ion laser was used for excitation and a 0.75 m double spectrometer was used for detection.

### III. RESULTS

As expected from previous reports [11], the Fe<sub>3</sub>Al-based alloy did not develop significant amounts of Fe-rich oxides upon oxidation at 900 or 1000°C. Fig. 1 shows Auger electron spectroscopy (AES) depth profiles through the thin surface films formed on specimens oxidized in a 1000°C furnace after 3 and 10 minutes, where the specimen temperature reached 910°C after 3 min, and 1000°C after 10 min. Even after 3 minutes, with the specimen continually being heated, the scale consisted mainly of Al and O. More Fe was found in this initial scale, as compared between the 3 and 10 minute profiles, but Fe was incorporated within a well-developed Al<sub>2</sub>O<sub>3</sub> layer. After 10 minutes, only very small amounts of Fe, <2 at%, was detected on the surface of the Al<sub>2</sub>O<sub>3</sub> scales. The presence of 5 at% Cr in the current alloy does not seem to contribute to the scale development, because an Fe-28at%Al alloy, without the Cr addition, also developed similar Al<sub>2</sub>O<sub>3</sub> layers when oxidized between 900-1100°C [16].

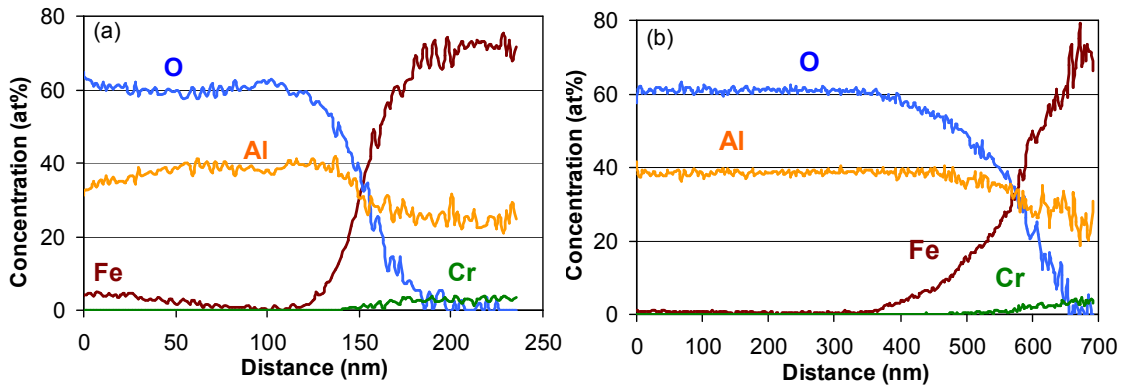


Fig. 1: Auger electron spectroscopy depth profiles of scales formed on a Fe<sub>3</sub>Al-based Fe-28Al-5Cr alloy after (a), 3 min and (b), 10 min in a 1000°C furnace.

A cross-sectional TEM micrograph of the scale formed at 900°C for 4.5 minutes is shown in Fig. 2a. A continuous film 50 to 85 nm thick consisting mainly of Al and O was observed. The randomly oriented nanoscale crystallites in the scale made phase identification difficult. EDS analyses across the film showed Fe enrichment toward the film surface up to 11.5wt%, similar to that found by AES (Fig. 1), and Cr was slightly enriched, 3.5wt%, in the  $\text{Al}_2\text{O}_3$  near the film/alloy interface, Fig. 2b. The interface between the film and the substrate was smooth and abrupt, without secondary phases, nor was sulfur detected, similar to previous results using AES that showed the interface at this stage is impurity free [13]. Similar compositions and structures were observed on specimens oxidized at 1000°C for 3 minutes. In Fig. 2, the film is seen to be composed of

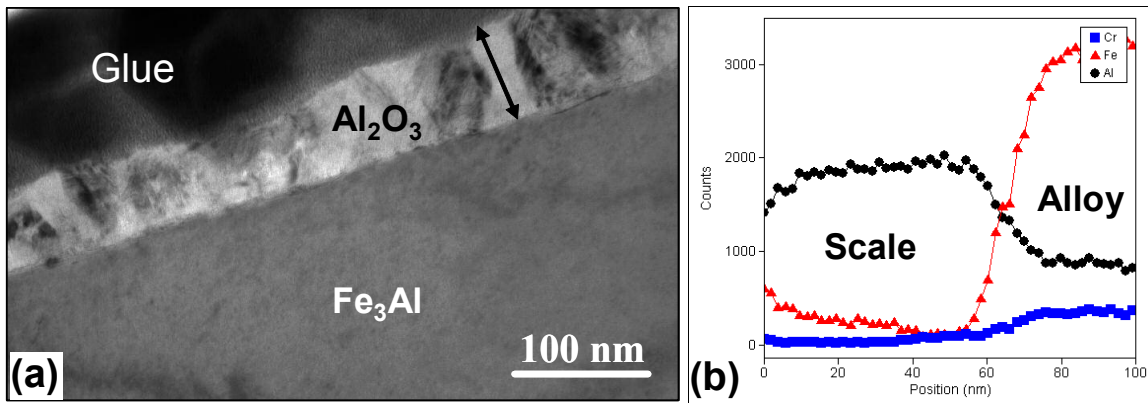


Fig. 2: (a), Cross-sectional morphology of  $\text{Al}_2\text{O}_3$  scale oxidized on a  $\text{Fe}_3\text{Al}$  alloy at 900°C for 4.5 min. The glue layer was applied during TEM sample preparation. (b), EDS line scan across the thickness of  $\text{Al}_2\text{O}_3$  scale.

crystalline grains with sizes between 2 and 70 nm. Pores with 5 to 10 nm diameter were observed in the middle of the film although they are not apparent in Fig. 2. Some through-thickness columnar grains are seen; an example is marked by arrows on the micrograph. Between these larger grains are much smaller crystallites. Fig. 3 shows two of them, 15 to 20 nm in diameter, which were observed under high-resolution TEM. Areas surrounding



these two grains were not correctly oriented under the beam, indicating no preferred orientation of all oxide grains in the fine-grained regions.

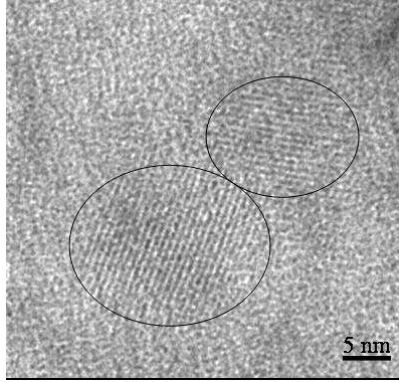


Fig. 3: High-resolution electron micrograph showing two nanoscale grains in the cross-sectional  $\text{Al}_2\text{O}_3$  scale oxidized at  $900^\circ\text{C}$  for 4.5 min. Lattice fringes within grains are recognized.

Extending the oxidization time to 35 min at  $900^\circ\text{C}$  thickened the  $\text{Al}_2\text{O}_3$  scale, but the scale remained single layered, consisting mainly of Al and O, with detectable Fe. Electron diffraction identified  $\theta$ - and  $\gamma$ - $\text{Al}_2\text{O}_3$  phases. In another sample oxidized at  $1000^\circ\text{C}$  for 10 min,  $\alpha$ - $\text{Al}_2\text{O}_3$  grains were seen to nucleate at the scale/alloy interface (Fig. 4) and started to form a complete layer above the alloy, similar in behavior to the specimen oxidized at  $900^\circ\text{C}$  for 190 min. Sub-micron sized voids were sometimes observed at the interface, extending into the alloy.

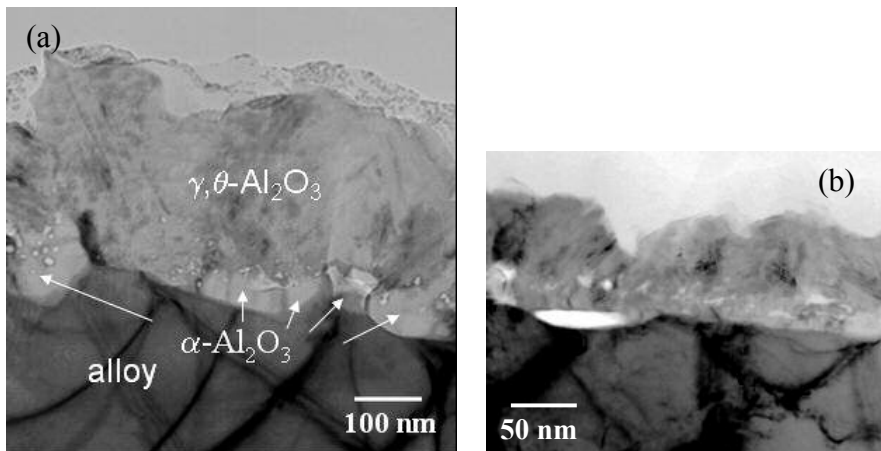


Fig. 4: Cross-sectional TEM images of an alloy oxidized at  $1000^\circ\text{C}$  for 10 min. (a)  $\alpha$ - $\text{Al}_2\text{O}_3$  grains nucleated at the scale/alloy interface as indicated by arrows. Small voids are seen in the scale between the alpha and transition alumina, and (b) large voids are sometimes detected at the scale/alloy interface.

Plan-view samples were studied, focusing on phase constitutions. Typical plan-view morphologies of scales formed at 900°C for 4.5 min, 35 min and 190 min with corresponding diffraction patterns are presented in Figs. 5-7, respectively. The scales consisted of polygonal grains whose size increased with oxidation time. Under higher magnifications (not shown here), pores of 5-20 nm in diameter were found randomly distributed in the oxide films. The most striking feature in Figs. 5 and 6, but not in Fig. 7, is the appearance of dark-contrast bands that are roughly along three, 120°-apart directions, whose lengths range from 50 to 200 nm, and widths from 10 to 40 nm. The total area fraction of these bands was 68% in the 4.5 min scale, 42% after 35 min oxidation, and 0% after 190 min. The area fraction of each set among the three sets of bands is always similar to the other two. EDS analysis confirmed the same  $\text{Al}_2\text{O}_3$  composition with minor Fe content in both the band areas and the areas in-between.

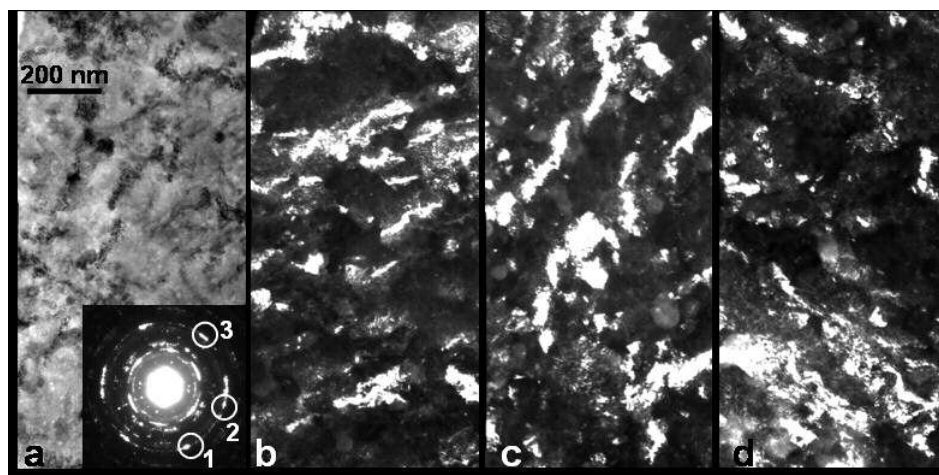


Fig. 5: (a), Bright-field plan-view TEM image taken from the  $\text{Al}_2\text{O}_3$  scale oxidized on a  $\text{Fe}_3\text{Al}$  alloy at 900°C for 4.5 min. Corresponding electron diffraction ring pattern is inserted, in which strong reflection loci used for dark-field imaging are circled and marked with 1, 2, and 3. (b), (c), (d), Dark-field images using diffraction spots 1, 2, and 3, respectively, marked in the inset of (a).

For the 4.5 and 35 min scales, diffraction loci with stronger than average intensities and a roughly 3- or 6-fold symmetric arrangement about the central spot are clearly seen in electron diffraction patterns (Figs. 5a and 6b). A close correlation exists between the strong loci in the diffraction patterns and the three sets of bands in the micrographs. Dark-field images produced by diffraction loci marked with 1, 2, and 3 in the inset of Fig. 5a are

shown in Figs. 5b, c, and d, respectively. In each dark-field image, the excited bands are in bright contrast. These results indicate that the grains in the bands were not randomly oriented but had certain preferred orientations.

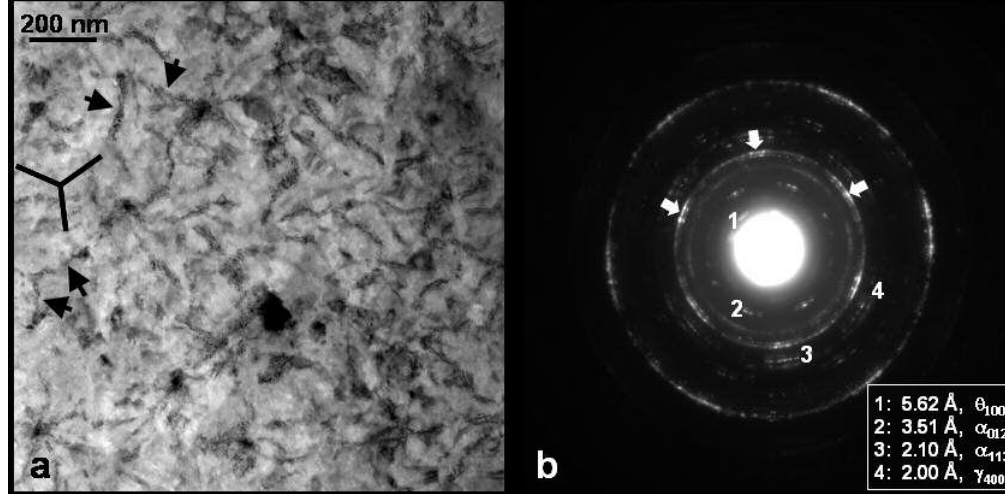


Fig. 6: (a), Plan-view morphology of  $\text{Al}_2\text{O}_3$  scale oxidized on a  $\text{Fe}_3\text{Al}$  alloy at  $900^\circ\text{C}$  for 35 min. Arrows indicate dark-contrast bands which are along three directions as marked by lines. (b), Electron diffraction ring pattern taken with a  $4.4\ \mu\text{m}$  diameter selected-area aperture. d-spacing values and the  $\text{Al}_2\text{O}_3$  polymorphs corresponding to the rings marked by 1, 2, 3, and 4, respectively, are listed in an inserted table. Arrows indicate strongly excited diffraction loci on a diffraction ring.

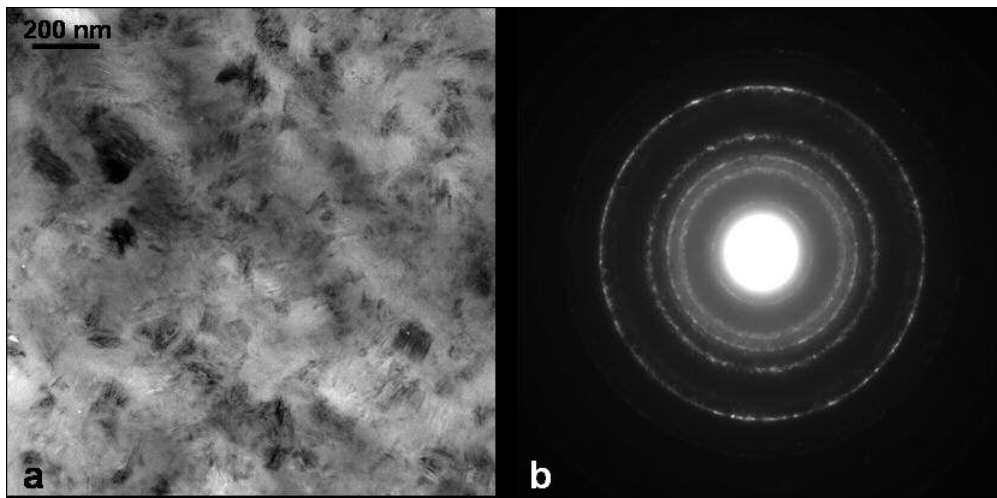


Fig. 7: (a), Plan-view morphology of the  $\text{Al}_2\text{O}_3$  scale oxidized on a  $\text{Fe}_3\text{Al}$  alloy at  $900^\circ\text{C}$  for 190 min. The band structure observed in the 4.5 and 35 min oxidation films disappeared. (b), Corresponding electron diffraction ring pattern taken from a  $4.4\ \mu\text{m}$  diameter area.

While the d-spacing of most diffraction rings in electron diffraction patterns could correspond to either the  $\alpha$ -,  $\theta$ - or  $\gamma$ - $\text{Al}_2\text{O}_3$  phase, some rings are unique representatives of specific  $\text{Al}_2\text{O}_3$  polymorphs. The diffraction pattern in Fig. 6b was taken from a 4.4  $\mu\text{m}$  diameter area where exposure time was adjusted to reveal the innermost rings. The ring marked by 1 corresponds to  $\theta$ - $\text{Al}_2\text{O}_3$  only, and the two rings marked by 2 and 3 are from the  $\alpha$ -phase. These d-spacing values and the corresponding phases with (hkl) indices are listed in the inset of Fig. 6b. From the electron diffraction ring patterns, it was concluded that both the 4.5 min and 35 min oxidation films were composed of mainly  $\theta$ - and  $\alpha$ - $\text{Al}_2\text{O}_3$  phases.  $\gamma$ - $\text{Al}_2\text{O}_3$  also existed, but in less amount, as indicated by the d-spacing of Ring 4 in Fig. 6b and its intensity, and from ring patterns of pure  $\gamma$ - $\text{Al}_2\text{O}_3$  regions that will be shown later.

The strong intensity diffraction loci found for the 4.5 min and 35 min scales appeared only on specific diffraction rings, e.g. those indicated by arrows in Fig. 6b. Reducing the selected area to a 1.8  $\mu\text{m}$  diameter made the 3- or 6-fold loci more prominent, Fig. 8a. The diffraction rings containing the loci could correspond to either  $\theta$ -,  $\gamma$ -, or  $\alpha$ - $\text{Al}_2\text{O}_3$  phase, making it difficult to distinguish which phases actually made the contribution. To clarify the phase, electron diffraction patterns were taken using a 0.2  $\mu\text{m}$  selected-area aperture, small enough to include only the grains in a single band. Such a diffraction pattern is shown in Fig. 8b, where two sets of hexagonal patterns were seen superimposed on each other, with a fixed  $30^\circ$  angle in-plane rotation about the central axis. Each set of the diffraction spots shows single crystalline characteristic. Careful analysis concluded that the hexagons marked by dashed lines correspond to the  $\gamma$ - $\text{Al}_2\text{O}_3$  [111] pattern, and the one marked by solid lines the  $[\bar{1}01]$   $\theta$ - $\text{Al}_2\text{O}_3$  with a slight lattice deviation. Both sets of diffraction spots fit perfectly with the strong intensity loci in Fig. 8a. The fact that the diffraction pattern in Fig. 8b was frequently seen in both 4.5 and 35 min oxidation films implies a possible intergrowth of the two phases with fixed orientation relationships within the bands.

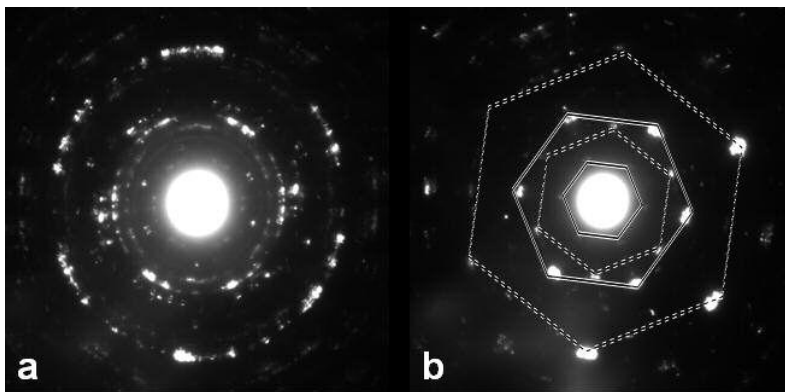


Fig. 8: (a), Selected-area electron diffraction pattern taken from  $\text{Al}_2\text{O}_3$  scale oxidized on a  $\text{Fe}_3\text{Al}$  alloy at  $900^\circ\text{C}$  for 4.5 min. A  $1.8\ \mu\text{m}$  diameter selected-area aperture was used. Strongly excited diffraction loci with a 3- or 6-fold symmetric arrangement can be seen. (b), Electron diffraction pattern taken from a  $0.2\ \mu\text{m}$  diameter area within the single band as shown in Fig. 5a. Diffraction spots from  $\theta$ -, and  $\gamma$ - $\text{Al}_2\text{O}_3$  phases are connected with solid and dashed lines, respectively.

Selected-area electron diffraction was also performed in the areas outside the bands, using the  $0.2\ \mu\text{m}$  diameter aperture. The scattered spots in the patterns matched either  $\alpha$ -,  $\theta$ -, or  $\gamma$ - $\text{Al}_2\text{O}_3$  phase, so the oxides outside the bands probably consisted of a mixture of the three polymorphs. Since larger area diffraction (Fig. 6b) shows  $\gamma$  as the least abundant phase in the overall scale and Fig. 8b identified the bands to consist of  $\theta$ - and  $\gamma$ - $\text{Al}_2\text{O}_3$  grains, the areas between the bands must be richer in  $\alpha$ - and  $\theta$ - $\text{Al}_2\text{O}_3$ .

In an area of the TEM foil where a portion of the alloy remained underneath the  $\text{Al}_2\text{O}_3$  scale, plan-view electron diffraction ring patterns were taken, Fig. 9a. The strong intensity loci, which have been seen in the scales, appeared again in these ring patterns and are marked with arrows. The substrate was a single grain of the  $\text{Fe}_3\text{Al}$  type alloy viewed along the  $[001]$  direction, indices are marked, where subscript FA denotes the iron aluminide alloy. Orientation relationships between the textured film and the underlying substrate grain can be determined. Fig. 9b shows a simulated  $\text{Fe}_3\text{Al}$   $[001]$  electron diffraction pattern superimposed with a simulated  $\theta$ - $\text{Al}_2\text{O}_3$   $[\bar{1}01]$  pattern. The  $\theta(111)$  ring is marked by a dashed circle, on which strong loci are located (see the corresponding dashed-line circle in Fig. 9a). It can be seen that the simulated pattern in Fig. 9b indeed

represents the experimental pattern in Fig. 9a, except Fig. 9b contains only one set of the  $\theta$ - $\text{Al}_2\text{O}_3$   $[\bar{1}01]$  pattern whereas Fig. 9a contains multiple sets with a rotation of up to  $22^\circ$  about the zone axis direction. In addition to the  $\theta\{111\}$  reflections,  $\gamma\{311\}$  reflections may also be part of the loci on the dashed-line circle. Fig. 9 and Fig. 8 suggest a probable orientation relationships between the textured  $\theta/\gamma$ - $\text{Al}_2\text{O}_3$  bands and the substrate, e.g.,  $[001]_{\text{Fe}_3\text{Al}}//[\bar{1}01]_{\theta\text{-Al}_2\text{O}_3}//[111]_{\gamma\text{-Al}_2\text{O}_3}$ , with in-plane rotation angles between 0 and  $22^\circ$ .

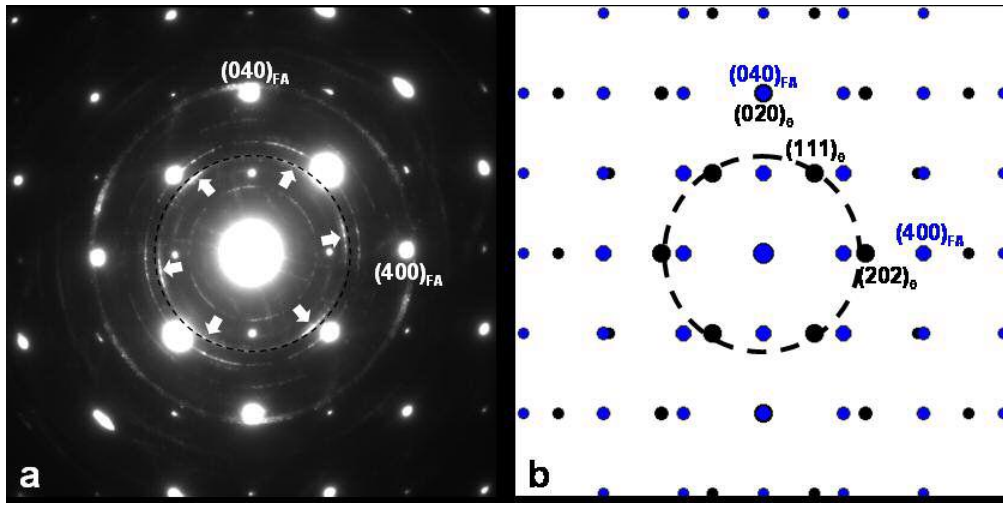


Fig. 9: (a), Electron diffraction pattern taken from  $\text{Al}_2\text{O}_3$  scale oxidized on a  $\text{Fe}_3\text{Al}$  alloy at  $900^\circ\text{C}$  for 35 min. Diffraction spots from  $\text{Fe}_3\text{Al}$  substrate (denoted as FA) projected along the  $[001]$  direction are indexed. Superimposed diffraction rings from  $\text{Al}_2\text{O}_3$  scale are seen. Strongly excited, 3- or 6-fold symmetric loci are indicated by arrows. (b), Simulated  $\text{Fe}_3\text{Al}$   $[001]$  electron diffraction pattern is superimposed on a simulated  $\theta$ - $\text{Al}_2\text{O}_3$   $[\bar{1}01]$  diffraction pattern. A dashed circle marks the  $\theta(111)$  ring which matches the ring marked by the dashed circle in (a).

In contrast to the 4.5 and 35 min oxidation films, structure in the 190 min oxidation films looked quite different. Fig. 7a shows that the  $\text{Al}_2\text{O}_3$  grains were considerably coarsened after this time; the grain size ranged from 60 to 250 nm. EDS revealed  $\text{Al}_2\text{O}_3$  and a small amount of Fe in each grain, same as in the shorter time oxidation films. The band structures seen in shorter time oxidation have now disappeared. Surprisingly, all

characteristic  $\alpha$ -Al<sub>2</sub>O<sub>3</sub> rings also disappeared from the diffraction ring pattern shown in Fig. 7b, which matched well with  $\gamma$ / $\theta$ -Al<sub>2</sub>O<sub>3</sub>. Similar ring patterns were obtained from 20 different regions, far from each other, in the same sample to verify the lack of the  $\alpha$ -phase. Noticing that the plan-view samples were thinned from the substrate side and the final sample thickness for TEM was only a few tenths of the whole scale thickness in this case, it is possible that grinding and ion milling from the substrate side removed the  $\alpha$ -phase layers that were present near the interface (similar to the structure shown in Fig. 4). It is therefore safe to conclude that at least near the top surface area, the major phases were  $\gamma$  and  $\theta$ -Al<sub>2</sub>O<sub>3</sub>.

Some areas of the 190 min specimen, about  $70 \times 200 \mu\text{m}$  dimension, contained only needle-like grains as shown in Fig. 10a. The size of the needles is uniform, about 0.3-0.5  $\mu\text{m}$  in length, and  $\sim 0.1 \mu\text{m}$  in width. The ring pattern, shown in Fig. 10b, corresponds to pure  $\gamma$ -Al<sub>2</sub>O<sub>3</sub> phase; this conclusion was also supported by selected-area electron diffraction patterns from individual needle grains, Fig. 10c and 10d, which provide unambiguous evidence for the  $\gamma$ -Al<sub>2</sub>O<sub>3</sub> structure. Fig. 10b was used in this study as a reference pattern for  $\gamma$ -Al<sub>2</sub>O<sub>3</sub> identification in analyzing the superimposed ring patterns such as that in Fig. 7b.

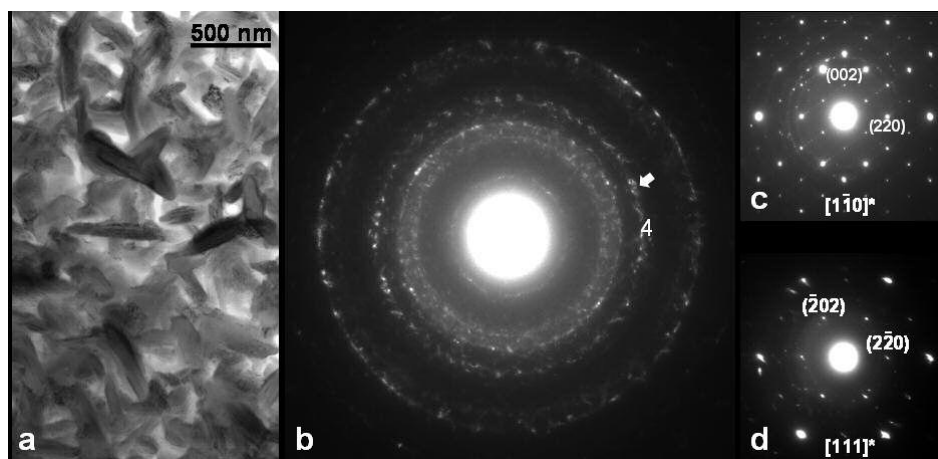


Fig. 10: (a), Bright field image of needle-like grains on some areas of the alloy oxidized at 900°C for 190 min. Electron diffraction ring pattern in (b), and electron diffraction patterns in (c) and (d) taken from individual needles identified the oxide to be  $\gamma$ -Al<sub>2</sub>O<sub>3</sub>.

To verify the presence of  $\alpha$ -Al<sub>2</sub>O<sub>3</sub> in the initial scales formed on Fe<sub>3</sub>Al, PL spectroscopy was used. This technique can detect  $\alpha$  and  $\theta$  Al<sub>2</sub>O<sub>3</sub> with high sensitivity via the Cr<sup>3+</sup> emission line which appears at 14,420 and 14460 cm<sup>-1</sup>, respectively, in the two phases [17,18]. Data from Fe-28Al-5Cr oxidized at 900°C and Ni-50Al oxidized at 1000°C is shown in Fig. 11. The positions of the unstrained  $\alpha$ -Al<sub>2</sub>O<sub>3</sub> and  $\theta$ -Al<sub>2</sub>O<sub>3</sub> peaks are marked by dashed lines. The results presented in Fig. 11 give a clear indication that  $\alpha$ -Al<sub>2</sub>O<sub>3</sub> is present in all the early scales formed at 900°C on Fe-28Al-5Cr. The peak intensity increased with time, which can be caused by scale growth (thickening), diffusion of more Cr into the scale, and/or an increase of the  $\alpha$  phase in the scale. The behavior is very different from that seen on a NiAl alloy, oxidized at even higher temperatures, 1000°C, where only  $\theta$ -Al<sub>2</sub>O<sub>3</sub> but not  $\alpha$ -Al<sub>2</sub>O<sub>3</sub> was detected. Although TEM studies showed the presence of  $\theta$ -Al<sub>2</sub>O<sub>3</sub> in the early scales formed on the Fe-28Al-5Cr alloy, this phase was not seen in PL; evidently the detection limit of this phase is lower than for the alpha phase. We note that a longer integration time was needed for the  $\theta$ -Al<sub>2</sub>O<sub>3</sub> signal on NiAl whose Al<sub>2</sub>O<sub>3</sub> scale consisted mainly of the theta form and was much thicker than that on the Fe-28Al-5Cr alloy (the concentration of the Cr impurity in this scale formed on NiAl may also have been lower). A Fe-28at%Al alloy without any Cr, but oxidized under the same conditions, i.e., 900°C for 4.5, 30 and 190 minutes, was also tested to evaluate the role of Cr. In all of these samples,  $\alpha$ -Al<sub>2</sub>O<sub>3</sub> was again found; an example from the 30 min specimen is included in Fig. 11. However, the peak intensities compared with that from the Cr-containing Fe<sub>3</sub>Al oxidized under the same condition were significantly lower; we attribute that to a lower concentration of Cr in the scale.



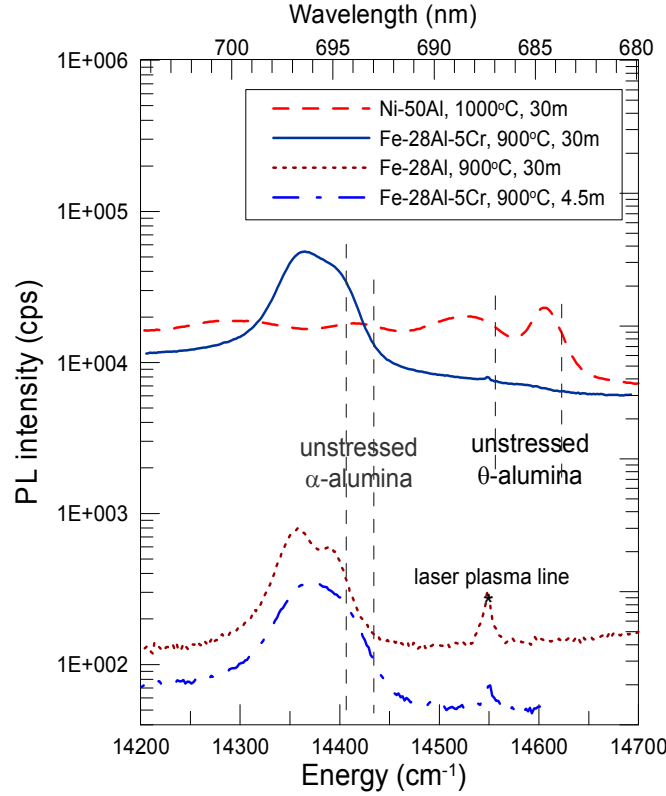


Fig. 11: Luminescent spectroscopy of  $\text{Al}_2\text{O}_3$  scales formed on Fe-28Al-5Cr (at%) alloys at 900°C after 4.5 and 30 min oxidation. Dashed lines mark peak positions of the unstrained  $\alpha$ - and  $\theta$ - $\text{Al}_2\text{O}_3$ . Results for scales formed on Ni-50Al and Fe-28Al (at%) alloys are included for comparison. Signal collection time was 10 sec/step for all the Fe-based alloys, but 25 sec/step for the NiAl.

#### IV. DISCUSSION

Unlike  $\text{Ni}_3\text{Al}$ , where NiO and Ni-Al spinels were often found at the outer scale surface [7-9], the  $\text{Fe}_3\text{Al}$  alloy studied here did not develop noticeable amounts of Fe-containing oxides above a slow growing  $\text{Al}_2\text{O}_3$  layer, but only had Fe in solution within the outer portion of a complete  $\text{Al}_2\text{O}_3$  scale.  $\text{Fe}_2\text{O}_3$  may have developed during specimen heat-up, as indicated by Raman spectroscopy in an earlier work with slow heating [11], but even there, the  $\text{Fe}_2\text{O}_3$  signal disappeared shortly before 900°C. TEM studies carried out in this work did not detect any  $\text{Fe}_2\text{O}_3$  or  $\text{Cr}_2\text{O}_3$ . Although the current alloy contains 5at%Cr, its presence should not contribute much to the overall scale composition, because Fe-28Al

alloy, without any Cr addition, has also been found by EDS/SEM and X-ray diffraction [16,19] to develop a protective  $\text{Al}_2\text{O}_3$  layer without the presence of any Fe-containing oxides. The fact that Fe-based aluminide forms  $\text{Al}_2\text{O}_3$  much faster than its Ni-based counter part is believed to be due to relatively faster diffusion rates of Al in the Fe-based alloy. At  $1000^\circ\text{C}$ , the ratio of Al and Fe diffusivity,  $D_{\text{Al}}/D_{\text{Fe}}$ , in Fe-Al containing 26-50at% Al is 1.4-1.5 [20]. The level, however, is about 5 times lower in nickel aluminide, where  $D_{\text{Al}}/D_{\text{Ni}}$  equals to only 0.2-0.3 in  $\text{Ni}_3\text{Al}$  [21]. The faster Al diffusion in iron aluminide can facilitate selective oxidation of the thermodynamically more stable oxide, in this case,  $\text{Al}_2\text{O}_3$ .

Before oxidation, a room temperature, or native, oxide thin film exists on the alloy surface, as observed by AES depth profile, similar to those shown in Fig. 1. This layer is only several nanometers in thickness and consists of all the alloying elements, similar to that reported for a Fe-20Cr-10Al alloy [22]. During heating, crystallization of the native oxide must occur, and, according to the AES depth profile seen in Fig. 1 on the 4.5 min sample, there is also some Fe oxide growth and the development and extensive growth of an  $\text{Al}_2\text{O}_3$  layer. Unlike Ni-50Al, which forms an initial surface  $\gamma\text{-Al}_2\text{O}_3$  layer that is epitaxially oriented with the substrate [2,4], the  $\text{Al}_2\text{O}_3$  that forms on this  $\text{Fe}_3\text{Al}$  alloy is a mixture of  $\theta$ ,  $\gamma$  as well as  $\alpha\text{-Al}_2\text{O}_3$ . There is only textured relationships with the substrate along three,  $120^\circ$ -apart directions, where the  $\theta[\bar{1}01]$  and the  $\gamma[111]$  are parallel to the substrate  $[001]$  direction, with an in-plane rotation of up to  $22^\circ$ , indicating the presence of a non-coherent interface between the initially formed oxide and the substrate. This again, is different from that reported for the Ni-50Al, which forms a coherent  $\gamma\text{-Al}_2\text{O}_3/\text{NiAl}$  interface [4].

The presence of the rod-shaped bands mixed in an  $\text{Al}_2\text{O}_3$  oxide layer has rarely been observed on other alloys, although similar phenomenon was reported in scales formed on NiCrAl [23]. The fact that these bands disappear with oxidation time indicates that they are formed during the initial stage of oxidation. When NiAl is oxidized under low  $\text{P}_{\text{O}_2}$  with doses of oxygen, rod-shaped oxides are formed along the substrate  $[001]$  direction, leaving unoxidized regions in between [24,25,26]. The preferential growth direction of

these rods on the alloy surface is a result of strain minimization. When an initial amorphous  $\text{Al}_2\text{O}_3$  layer exists on the alloy surface prior to heating, the rods are nucleated by the crystallization of this layer at intermediate temperatures [24]. Similar phenomenon may occur on the  $\text{Fe}_3\text{Al}$  studied here. It is likely that a preferential growth direction exists within the very first  $\text{Al}_2\text{O}_3$  crystals (whether nucleated or crystallized or both). These textured bands grow laterally along three,  $120^\circ$ -apart directions. In between these bands are randomly oriented  $\text{Al}_2\text{O}_3$  grains of  $\theta$ ,  $\gamma$  as well as  $\alpha$  alumina. The textured bands consist mainly of  $\theta$  and  $\gamma$ - $\text{Al}_2\text{O}_3$ , where the two phases intergrown with a fixed orientation relationship. With time, the oxide film thickens and epitaxy is lost, probably due to higher strain levels in the film, and the number density of these bands decrease.

The first-formed  $\text{Al}_2\text{O}_3$  phases on all nickel aluminides are either the  $\theta$ ,  $\gamma$  form or a mixture of the two [2-9].  $\alpha$ - $\text{Al}_2\text{O}_3$  only nucleates later mainly as a result of alumina phase transformation [27,28,29]. However, in this Cr-containing  $\text{Fe}_3\text{Al}$  alloy,  $\alpha$ - $\text{Al}_2\text{O}_3$  was found at the very early stage, even when the specimen was being heated. The behavior is similar to that observed by Andoh et al [30] on a  $\text{FeCrAl}$  alloy (about 18wt%Cr and 3-4wt%Al). Cr has been proposed to accelerate  $\alpha$ - $\text{Al}_2\text{O}_3$  formation where its oxide particles act as nuclei for the  $\theta$  to  $\alpha$ -alumina phase transformation [31]. Although the present  $\text{Fe}_3\text{Al}$  alloy contains 5at%Cr, there is no experimental evidence showing that the Cr is responsible in enhancing  $\alpha$ - $\text{Al}_2\text{O}_3$  nucleation. However, since the alloy has been found to form a small amount of  $\text{Fe}_2\text{O}_3$  during heating, as previously observed by Raman spectroscopy [11], and that current TEM and AES results have found Fe in solution within the outer portion of the  $\text{Al}_2\text{O}_3$  scale, the initially formed  $\text{Fe}_2\text{O}_3$ , being isomorphous with  $\alpha$ - $\text{Al}_2\text{O}_3$ , may also be able to enhance  $\alpha$ - $\text{Al}_2\text{O}_3$  nucleation. This conclusion is confirmed by the fact that  $\alpha$ - $\text{Al}_2\text{O}_3$  also forms on Fe-28Al during the early stages of oxidation (Fig. 11), where there is no Cr in the alloy. These results are in agreement with the earlier proposals [12,11] that the initially formed  $\text{Fe}_2\text{O}_3$  act as templates to help nucleate  $\alpha$ - $\text{Al}_2\text{O}_3$ . Because of this reason, no  $\alpha$  phase was detected in the initial scales formed on NiAl alloys [2-9].

From the TEM results and the above discussions, we can derive the growth mechanism of the  $\text{Al}_2\text{O}_3$  scale on our  $\text{Fe}_3\text{Al}$ -based alloy at 900-1000°C as follows.

Initially, textured  $\theta/\gamma$  bands were formed along preferred orientations, with  $\alpha$  and  $\theta$  alumina growing between these bands; the  $\alpha\text{-Al}_2\text{O}_3$  formed early due to the presence of  $\text{Fe}_2\text{O}_3$  in the native and initial oxide film acting as its nucleation sites. At this stage, the slow growing  $\alpha$ -grains have not fully developed into a complete layer, therefore, the scale thickened through outgrowth of the  $\gamma/\theta$  layer, and the newly formed top layer was usually porous. Transformation of the abundant  $\theta$  and  $\gamma$  grains in the initial scale into  $\alpha\text{-Al}_2\text{O}_3$  took place at the scale/alloy interface, giving rise to large, new alpha grains, just as in the case of NiAl. However, due to the presence of  $\alpha\text{-Al}_2\text{O}_3$  in the initial  $\gamma/\theta$  layer, the development of this  $\alpha\text{-Al}_2\text{O}_3$  layer at the interface was much faster on the Fe-based alloy. Eventually, growth of the oxide scale was dominated by the  $\alpha$ -grain layer which had fully covered the substrate surface. After longer oxidation times, the oxide film was composed of mainly  $\alpha$ - alumina grains with a top layer containing  $\gamma/\theta\text{-Al}_2\text{O}_3$ . Transformation of the residual  $\gamma/\theta$ -grains into  $\alpha\text{-Al}_2\text{O}_3$  will eventually be completed at the elevated oxidation temperatures.

## V. CONCLUDING REMARKS

Formation, structure, and phase development in  $\text{Al}_2\text{O}_3$  scales on a  $\text{Fe}_3\text{Al}$ -based Fe-28Al-5Cr (at %) alloy after different oxidation times at elevated temperatures were studied using Auger electron spectroscopy, TEM, and luminescent spectroscopy. The results and conclusions are summarized as following:

- (1)  $\theta$ -,  $\gamma$ -, as well as  $\alpha\text{-Al}_2\text{O}_3$  polymorphs were detected in the initial scales, even during heating, with small amounts of Fe in solution near the scale outer region. The oxide films at this time were single layered. With extended oxidation, at an oxide thickness of about 0.5  $\mu\text{m}$ , large  $\alpha\text{-Al}_2\text{O}_3$  grains nucleated at the scale/alloy interface and the oxide became double layered with the inner layer being  $\alpha\text{-Al}_2\text{O}_3$ , and the outer layer being an mixture of  $\gamma$  and  $\theta\text{-Al}_2\text{O}_3$ . At some surface locations, the  $\gamma\text{-Al}_2\text{O}_3$  developed into needle shaped grains.

- (2) The initial scale contained band-like structures that extended along three directions with a  $120^\circ$  angle between them. Textured  $\theta$  and  $\gamma$  grains were the main components in these bands, and fine grained  $\alpha$ - $\text{Al}_2\text{O}_3$  mixed with randomly oriented  $\theta$  and  $\gamma$ - $\text{Al}_2\text{O}_3$  phases existed between them. A likely orientation relationships between the textured bands and the substrate are  $[001]_{\text{Fe}_3\text{Al}}//[\bar{1}01]_{\theta\text{-Al}_2\text{O}_3}//[111]_{\gamma\text{-Al}_2\text{O}_3}$ , with an in-plane rotation angle between  $0$  and  $22^\circ$ . The amount of these oriented grains decreased with oxidation time.
- (3)  $\alpha$ - $\text{Al}_2\text{O}_3$  was also detected in the early scale grown on Fe-28Al without the 5%Cr addition, although in smaller quantities. This phenomenon is different from that reported for NiAl, demonstrating that  $\text{Fe}_2\text{O}_3$ , which were initially present on the alloy surface, can act as templates for  $\alpha$ - $\text{Al}_2\text{O}_3$  nucleation, giving rise to a much faster  $\theta/\gamma$  to  $\alpha$  transformation than in the NiAl case.
- (4) Alumina scale development on  $\text{Fe}_3\text{Al}$  is similar to that on  $\beta$ -NiAl where a layer of oxide consisting mainly of transition  $\text{Al}_2\text{O}_3$  first forms, followed by the nucleation and growth of an  $\alpha$ - $\text{Al}_2\text{O}_3$  layer at the scale/alloy interface. However, the behavior is different from that of its counter part,  $\text{Ni}_3\text{Al}$ , where extensive Ni oxidation takes place. The difference is believed to be associated with faster diffusion rates of Al relative to Fe in  $\text{Fe}_3\text{Al}$  than of Al compared to Ni in  $\text{Ni}_3\text{Al}$ .

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## FIGURE CAPTIONS

Fig. 1: Auger electron spectroscopy depth profiles of scales formed on a Fe<sub>3</sub>Al-based Fe-28Al-5Cr alloy after (a), 3 min and (b), 10 min in a 1000°C furnace.

Fig. 2: (a), Cross-sectional morphology of Al<sub>2</sub>O<sub>3</sub> scale oxidized on a Fe<sub>3</sub>Al alloy at 900°C for 4.5 min. The glue layer was applied during TEM sample preparation. (b), EDS line scan across the thickness of Al<sub>2</sub>O<sub>3</sub> scale.

Fig. 3: High-resolution electron micrograph showing two nanoscale grains in the cross-sectional Al<sub>2</sub>O<sub>3</sub> scale oxidized at 900°C for 4.5 min. Lattice fringes within grains are recognized.

Fig. 4: Cross-sectional TEM images of an alloy oxidized at 1000°C for 10 min. (a)  $\alpha$ -Al<sub>2</sub>O<sub>3</sub> grains nucleated at the scale/alloy interface as indicated by arrows. Small voids are seen in the scale between the alpha and transition alumina, and (b) large voids are sometimes detected at the scale/alloy interface.

Fig. 5: (a), Bright-field plan-view TEM image taken from the Al<sub>2</sub>O<sub>3</sub> scale oxidized on a Fe<sub>3</sub>Al alloy at 900°C for 4.5 min. Corresponding electron diffraction ring pattern is inserted, in which strong reflection loci used for dark-field imaging are circled and marked with 1, 2, and 3. (b), (c), (d), Dark-field images using diffraction spots 1, 2, and 3, respectively, marked in the inset of (a).

Fig. 6: (a), Plan-view morphology of Al<sub>2</sub>O<sub>3</sub> scale oxidized on a Fe<sub>3</sub>Al alloy at 900°C for 35 min. Arrows indicate dark-contrast bands which are along three directions as marked by lines. (b), Electron diffraction ring pattern taken with a 4.4  $\mu$ m diameter selected-area aperture. d-spacing values and the Al<sub>2</sub>O<sub>3</sub> polymorphs corresponding to the rings marked by 1, 2, 3, and 4, respectively, are listed in an inserted table. Arrows indicate strongly excited diffraction loci on a diffraction ring.

Fig. 7: (a), Plan-view morphology of the Al<sub>2</sub>O<sub>3</sub> scale oxidized on a Fe<sub>3</sub>Al alloy at 900°C for 190 min. The band structure observed in the 4.5 and 35 min oxidation films



disappeared. (b), Corresponding electron diffraction ring pattern taken from a 4.4  $\mu\text{m}$  diameter area.

Fig. 8: (a), Selected-area electron diffraction pattern taken from  $\text{Al}_2\text{O}_3$  scale oxidized on a  $\text{Fe}_3\text{Al}$  alloy at  $900^\circ\text{C}$  for 4.5 min. A 1.8  $\mu\text{m}$  diameter selected-area aperture was used. Strongly excited diffraction loci with a 3- or 6-fold symmetric arrangement can be seen. (b), Electron diffraction pattern taken from a 0.2  $\mu\text{m}$  diameter area within the single band as shown in Fig. 5a. Diffraction spots from  $\theta$ -, and  $\gamma$ - $\text{Al}_2\text{O}_3$  phases are connected with solid and dashed lines, respectively.

Fig. 9: (a), Electron diffraction pattern taken from  $\text{Al}_2\text{O}_3$  scale oxidized on a  $\text{Fe}_3\text{Al}$  alloy at  $900^\circ\text{C}$  for 35 min. Diffraction spots from  $\text{Fe}_3\text{Al}$  substrate (denoted as FA) projected along the  $[001]$  direction are indexed. Superimposed diffraction rings from  $\text{Al}_2\text{O}_3$  scale are seen. Strongly excited, 3- or 6-fold symmetric loci are indicated by arrows. (b), Simulated  $\text{Fe}_3\text{Al}$   $[001]$  electron diffraction pattern is superimposed on a simulated  $\theta$ - $\text{Al}_2\text{O}_3$   $[\bar{1} 01]$  diffraction pattern. A dashed circle marks the  $\theta(111)$  ring which matches the ring marked by the dashed circle in (a).

Fig. 10: (a), Bright field image of needle-like grains on some areas of the alloy oxidized at  $900^\circ\text{C}$  for 190 min. Electron diffraction ring pattern in (b), and electron diffraction patterns in (c) and (d) taken from individual needles identified the oxide to be  $\gamma$ - $\text{Al}_2\text{O}_3$ .

Fig. 11: Luminescent spectroscopy of  $\text{Al}_2\text{O}_3$  scales formed on Fe-28Al-5Cr (at%) alloys at  $900^\circ\text{C}$  after 4.5 and 30 min oxidation. Dashed lines mark peak positions of the unstrained  $\alpha$ - and  $\theta$ - $\text{Al}_2\text{O}_3$ . Results for scales formed on Ni-50Al and Fe-28Al (at%) alloys are included for comparison. Signal collection time was 10 sec/step for all the Fe-based alloys, but 25 sec/step for the NiAl.